

CAESAR is a powerful and proven Broker Dealer management solution that streamlines your Direct, Brokerage and Advisory business processes. Offered as an "out-of-the-box" install or as a high-end customizable solution, CAESAR gives you the strength you need to run your business now, and the capabilities to grow and expand well into the future.

Operational Efficiencies

CAESAR offers Broker Dealers operational efficiencies through sophisticated data aggregation from third party data sources such as DST, DTCC and clearing firms. Consolidate Rep, Client, Transaction, and Commission information into one central repository for your Direct, Brokerage and Advisory business to gain a comprehensive workflow management system for your Back Office.

Commission Processing

Whether you pay commissions by production grid, rolling calculations, or simply have a flat rate for all business, CAESAR has you covered. CAESAR's extensive and flexible commission calculation program enables you to meet varied rep payout agreements without the manual effort to track and maintain. Detailed Commission Statements are delivered to reps on-line through Field CAESAR, thereby reducing commission related calls to the Back Office.

Compliance

CAESAR's compliance rules engine provides you the flexibility needed to create rules that fit your business model and risk profile. All transactions are scrutinized to verify conformance with established rules to monitor potential violations and are flagged for further review or commission hold. Books & Records letters can be tracked, generated and mailed to clients, supporting compliance with FINRA regulations.

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- **Registration & Licensing**
 - **Client Account Management**
 - **Transaction Processing**
 - **Compliance Review**
 - **Commission Accounting**
 - **Operational Reporting**

Schedule your demonstration of CAESAR today



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CAESAR® Scribe

**Powerful
Back Office
Processing
for Broker
Dealers**

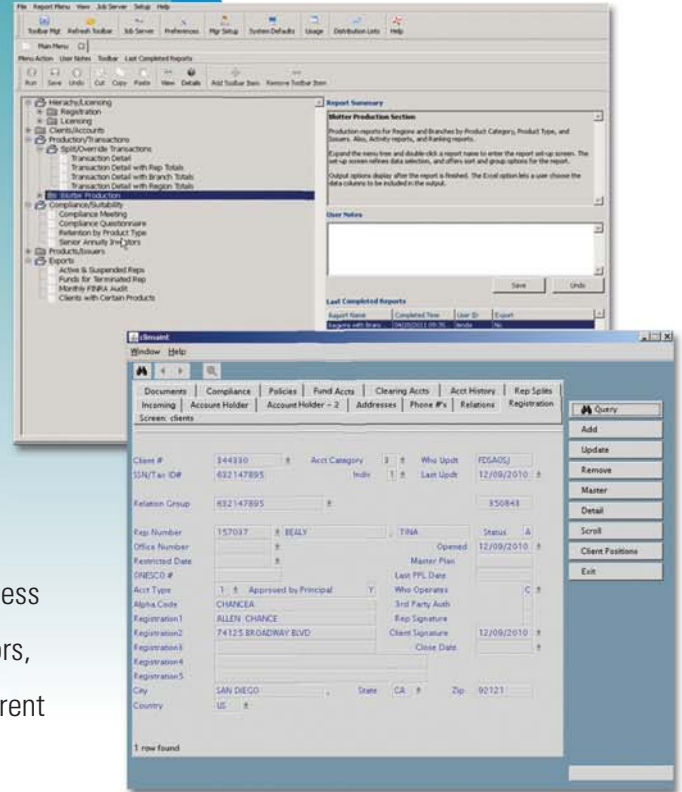
Intuitive Reporting Features

Consolidating client, rep and production data in CAESAR is an important aspect of managing and streamlining your Broker Dealer business.

Being able to access this data and report on it in meaningful ways enables your team to make strategic decisions in a timely and effective manner, thereby increasing your ROI in CAESAR.

CAESAR Scribe is an easy to use reporting wizard for the Back Office.

Built in scheduling features enable users to easily define on-going report production and distribution lists. With a click of a button, reports can be printed, sent to Excel or distributed in a PDF file, limiting auxiliary emails, paper and reducing costs.



Extensive Permission Controls

CAESAR allows for extensive permission controls around who can access menus and export data. Multiple levels of access include administrators, managers, departments and users. Defined permission levels are inherent throughout the Back Office application and reporting structure.

Intuitive user interface, built in queries and report templates combine to create basic and complex operational and compliance reporting.



CAESAR Quick Start: Implement the CAESAR baseline model "out-of-the-box", focusing integration time on data harmonization, project management and end user training.

CAESAR Medallion: Using the robust capabilities of the CAESAR baseline model, Financial Database Services can tailor technology solutions for your operational and commission processing needs while optimizing your growth capacity as your business evolves.



Scan QR code to be taken directly to FDS website.



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